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# NOTICE OF ALLOWANCE AND FEE(S) DUE

20480

7590

09/20/2004

STEVEN L. NICHOLS RADER, FISHMAN & GRAVER PLLC 10653 S. RIVER FRONT PARKWAY SUITE 150 SOUTH JORDAN, UT 84095

| <br>EXAMINER |  |
|--------------|--|
| <br>         |  |

PAPER NUMBER

LABAZE, EDWYN

ART UNIT

DATE MAILED: 09/20/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/997 122      | 11/28/2001  | Michael W. Johnson   | 80113.0230          | 3626             |

TITLE OF INVENTION: DUAL BATTERY CONFIGURATION AND METHOD OF USING THE SAME TO PROVIDE A LONG-TERM POWER SOLUTION IN A PROGRAMMABLE SMART CARD

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1330    | \$300           | \$1630           | 12/20/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

| INSTRUCTIONS: This for appropriate. All further con indicated unless corrected b maintenance fee notification | m should be used for tran<br>respondence including the I<br>below or directed otherwise<br>s.  | smitting the ISSU<br>Patent, advance or<br>in Block 1, by (a      | JE FEE and Frders and notif<br>) specifying a                             | PUBLICATION FEE (if requirements of maintenance fees with new correspondence address   | ired). Blocks 1 through 5 s<br>will be mailed to the current<br>; and/or (b) indicating a sepa   | hould be completed where<br>correspondence address as<br>arate "FEE ADDRESS" for        |
|---|--|---|---|--|--|---|
|   | E ADDRESS (Note: Use Block 1 for   | any change of address)  | Note: A certificate of<br>Fee(s) Transmittal. The                         | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying  |  |   |
| 20480 7590 09/20/2004   |  |   |   | papers. Each additions have its own certificat   | al paper, such as an assignme<br>e of mailing or transmission.   | ent or formal drawing, mus  |
| STEVEN L. NICI  | HOLS   |   |   | Cer  | rtificate of Mailing or Trans  | emission  |
|   | N & GRAVER PLLC  |   |   | I hereby certify that the  | nis Fee(s) Transmittal is bein<br>with sufficient postage for fir<br>il Stop ISSUE FEE address<br>PTO (703) 746-4000, on the   | g deposited with the United   |
|   | RONT PARKWAY   |   |   | States Postal Service addressed to the Mai   | with sufficient postage for fir<br>I Stop ISSUE FEE address  | st class mail in an envelope above, or being facsimile                                  |
| SUITE 150   |  |   |   | transmitted to the USF   | PTO (703) 746-4000, on the   | date indicated below.   |
| SOUTH JORDAN,   | UT 84095   |   |   |  |  | (Depositor's name)  |
|   |  |   |   |  |  | (Signature)   |
|   |  |   |   |  |  | (Date)  |
| APPLICATION NO.   | FILING DATE  |   | FIRST NAMED   | INVENTOR   | ATTORNEY DOCKET NO.  | CONFIRMATION NO.  |
| 09/997,122  | 11/28/2001   |   | Michael W   | . Johnson  | 80113.0230   | 3626  |
| TITLE OF INVENTION: D<br>PROGRAMMABLE SMAR  |  | URATION AND   | METHOD O  | F USING THE SAME TO P  | ROVIDE A LONG-TERM   | POWER SOLUTION IN A   |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE F   | ЕЕ  | PUBLICATION FEE  | TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional  | NO   | \$1330  | )   | \$300  | \$1630   | 12/20/2004  |
| EXAM  | INER   | ART UN  | IT  | CLASS-SUBCLASS   |  |   |
| LABAZE,   | EDWYN  | 2876  |   | 235-492000   |  |   |
| ☐ "Fee Address" indicati  | ence address or indication of "Fe<br>ence address (or Change of 6<br>2) attached.<br>ion (or "Fee Address" Indica<br>or more recent) attached. Use | Correspondence  | (1) the nam<br>or agents C<br>(2) the nam<br>registered a<br>2 registered | ting on the patent front page, limes of up to 3 registered pater of R, alternatively, are of a single firm (having as attorney or agent) and the nam d patent attorneys or agents. If ame will be printed.   | a member a 2enes of up to  |   |
| 3. ASSIGNEE NAME AND  | RESIDENCE DATA TO B  | E PRINTED ON T  | THE PATENT  | (print or type)  |  |   |
| PLEASE NOTE: Unless recordation as set forth in   | an assignee is identified be 37 CFR 3.11. Completion of  | elow, no assignee of this form is NO                              | data will appe<br>Γa substitute f   | ear on the patent. If an assign for filing an assignment.  | nee is identified below, the o   | ocument has been filed for  |
| (A) NAME OF ASSIGNE   | EE   | (B  | ) RESIDENC  | E: (CITY and STATE OR CO   | UNTRY)   |   |
|   |  |   |   | atent): ☐ Individual ☐ C   | orporation or other private gr   | oup entity 🗖 Government   |
| 4a. The following fee(s) are o  | enciosed:  | 40  | Payment of I  | ` '  | _11  |   |
|   |  |   | n the amount of the fee(s) is en  |  |  |   |
|   | Copies   |   |   | by credit card. Form PTO-2038 is attached.  ector is hereby authorized by charge the required fee(s), or credit any overpayment, to  |  |   |
| Advance Order - # or  | Copies   |   | Deposit Acco  | ount Number  | enclose an extra c   | opy of this form).  |
| 5. Change in Entity Status (  | •  | •   |   |  |  |   |
| • •   | MALL ENTITY status. See 3  |   |   | ant is no longer claiming SMA  |  |   |
| The Director of the USPTO i<br>NOTE: The Issue Fee and Pu<br>interest as shown by the reco                    | s requested to apply the Issu<br>ablication Fee (if required) w<br>rds of the United States Pate   | te Fee and Publicate<br>will not be accepted<br>ant and Trademark | tion Fee (if any<br>I from anyone<br>Office.                              | y) or to re-apply any previously other than the applicant; a reg   | y paid issue fee to the application is tered attorney or agent; or the state of the | ation identified above.  the assignee or other party in                                 |
| Authorized Signature  |  |   |   | Date   |  |   |
| Typed or printed name   |  |   |   | Registration   | No   |   |
| This collection of information an application. Confidentiality submitting the completed applications.         | n is required by 37 CFR 1.3<br>by is governed by 35 U.S.C.<br>plication form to the USPTO  | 11. The informatio<br>122 and 37 CFR<br>D. Time will vary         | n is required to<br>1.14. This coll<br>depending up                       | o obtain or retain a benefit by ection is estimated to take 12 on the individual case. Any contract of the con | the public which is to file (an minutes to complete, includir or ments on the amount of ti   | d by the USPTO to process<br>ng gathering, preparing, and<br>me you require to complete |

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| APPLICATION NO.               | F    | FILING DATE FIRST NAMED INVENTOR |  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-------------------------------|------|----------------------------------|--|---------------------|------------------|--|
| 09/997,122                    | •    | 11/28/2001 Michael W. Johnson    |  | 80113.0230          | 3626             |  |
| 20480                         | 7590 | 09/20/2004                       |  | EXAM                | INER             |  |
| STEVEN L. NICHOLS             |      |                                  |  | LABAZE, EDWYN       |                  |  |
| RADER, FISHI<br>10653 S. RIVE |      | RAVER PLLC<br>PARKWAY            |  | ART UNIT            | PAPER NUMBER     |  |
| SUITE 150                     |      |                                  |  | 2876                |                  |  |
| SOUTH JORDAN, UT 84095        |      | DATE MAILED: 09/20/2004          |  |                     |                  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.                     | FI   | FILING DATE FIRST NAMED INVENTOR |                          | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |
|-------------------------------------|--|----------------------------------|--------------------------|---------------------|------------------|--|--|
| 09/997,122                          | 11/28/2001   |                                  | /2001 Michael W. Johnson |                     | 3626             |  |  |
| 20480                               | 7590   | 09/20/2004                       |                          | EXAMINER            |                  |  |  |
| STEVEN L. NICHOLS                   |  |                                  |                          | LABAZE, EDWYN       |                  |  |  |
|                                     | RADER, FISHMAN & GRAVER PLLC<br>10653 S. RIVER FRONT PARKWAY |                                  |                          | ART UNIT            | PAPER NUMBER     |  |  |
| SUITE 150<br>SOUTH JORDAN, UT 84095 |  |                                  | 2876                     | <del></del>         |                  |  |  |
|                                     |  |                                  | DATE MAILED: 09/20/2004  |                     |                  |  |  |

### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.27(a))

|     | By a sman ontity (500: 1.27(a))        | . ψυσυ.σσ  |
|-----|--|------------|
|     | By other than a small entity           | \$1,370.00 |
| (b) | Issue fee for issuing a design patent: |            |
|     | By a small entity (Sec. 1.27(a))       | . \$245.00 |
|     | By other than a small entity           | \$490.00   |
| (c) | Issue fee for issuing a plant patent:  |            |
|     | By a small entity (Sec. 1.27(a))       | . \$330.00 |
|     | By other than a small entity           | . \$660.00 |
|     |  |            |

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.